



01/18/02

J1011 U.S. PTO

10/050169



01/18/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable ☐ Duplicate

Attorney  
Docket No.

970607B

Total Pages

First Named Inventor or Application Identifier

**Shinichiroh IKEMASU et al.**

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:  
**HIGHLY INTEGRATED AND RELIABLE DRAM AND  
ITS MANUFACTURE**

ADDRESS TO: Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification, Claims, Abstract      Total Pages [66]
3. ☒ Drawing(s) (35 USC 113)      Total Sheets [37]
4. ☒ Oath or Declaration      Total Pages [2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment to **FUJITSU LIMITED, Kawasaki, Japan** in the prior Application Serial No. 08/876,908 filed on June 16, 1997 has been recorded at Reel 8633 Frame 0829 on June 17, 1997. Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)      ☐ Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement w/PTO-1449 listing cited documents. ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of Japanese application no. 8-189424 filed on July 18, 1996 is claimed under 35 USC 119. The certified copy has been filed in prior application no. 08/876,908 filed June 16, 1997.

16. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/876,908  
filed June 16, 1997.

a. ☒ Please amend the specification by inserting after the title: -- **This application is a division of prior application Serial No. 08/876,908, filed June 16, 1997** --.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	23 - 20	3	x \$18.00	54.00
Independent Claims	3 - 3	0	x \$84.00	0
Multiple Dependent Claims			\$280.00	0
Basic Filing Fee				\$740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$0.00	
TOTAL				\$794.00

SGA/arf

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☒ A check in the amount of \$794.00 is enclosed to cover the filing fee and 3 extra claims.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_ to cover the filing fee and the \_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: January 17, 2002

SGA/arf